

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/849,071 | 05/04/2001 | Herman P. Benecke | 22094(1)CIP |

24116
BATTELLE MEMORIAL INSTITUTE
505 KING AVENUE
COLUMBUS, OH 43201-2693

CONFIRMATION NO. 8151

FORMALITIES LETTER



OC000000006260065

Date Mailed: 07/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$80.
 - \$80 for 11 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 210.**

*A copy of this notice **MUST** be returned with the reply.*

MFB
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/13/2001 BNGUYEN1 00000002 09849071

01 FC:105
02 FC:102

130.00 OP
80.00 OP

Plasticizers derived from vegetable oils

Preliminary Class

524

Data entry by : BEYENE, MELKAM

Team : OIPE

Date: 07/05/2001



#3

Attorney Docket Number 22094(1)CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Herman P. Benecke, Bhima R. Vijayendran, and Joel D. Elhard
Application No.: 09/849,071
Filing Date: May 4, 2001
For: PLASTICIZERS DERIVED FROM VEGETABLE OILS

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

MISSING PARTS TRANSMITTAL

Enclosed herewith is Part 2 of the Notice to File Missing Parts of Nonprovisional Application for the above-referenced patent application, the required Declaration, Credit Card Payment Form (PTO-2038) authorizing payment of \$80 for an additional independent claim fee and \$130.00 to cover the declaration surcharge for a total fee due of \$210, and a request to correct the Filing Receipt.

Respectfully submitted,

Klaus H. Wiesmann
Signature of Attorney

Klaus H. Wiesmann

(Type or Print Name of Attorney)

Date: August 7, 2001

Registration No.: 30,437
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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on Aug. 7, 2001, in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10, Mailing Label Number ET482398888US addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

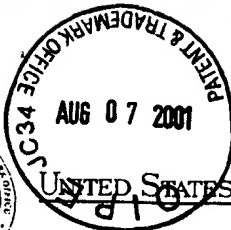
Brenda Block Koone
Signature

Brenda Block Koone
(Type or Print Name of Person Certifying)

08-08-01

Page 1 of 4

RECEIPT



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/849,071 | 05/04/2001 | 1714 | 1690 | 22094(1)CIP | | 30 | 14 |

CONFIRMATION NO. 8151

24116
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505 KING AVENUE
COLUMBUS, OH 43201-2693

FILING RECEIPT



OC000000006260064

Date Mailed: 07/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Herman P. Benecke, Residence Not Provided; → Columbus, Ohio
Bhima R. Vijayendran, Residence Not Provided; → should be: Bhima R. Vijayendran,
Joel D. Elhard, Residence Not Provided; ↓ Hilliard, Ohio
Dublin, Ohio

Assignment For Published Patent Application

Battelle Memorial Institute;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/596,971 06/20/2000 ABN

Foreign Applications

If Required, Foreign Filing License Granted 07/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Express Mail # ET48239888US

